

CPA  
11/16/01  
JL

## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

CHECK BOX, IF

APPLICABLE

Only for Continuation or Divisional applications under 37 CFR 1.53(d)

DUPLICATE

Address to:  Assistant Commissioner for Patents Box CPA Washington, D.C. 20231	Attorney Docket No. of Prior Application	2431-102
	First Named Inventor	CESARE
	Examiner Name	S. Nolan
	Group Art Unit	1772
	Express Mail Label No.	

This is a request for a continuation application under 37 CFR 1.53(d) (continued prosecution application (CPA)) of prior application number 09/138,926 filed on August 24, 1998 entitled LOW MOLECULAR WEIGHT POLYMERS AND THEIR USE AS DISPERSION AIDS.

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NOV 16 2001

TC 1700

### NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) or (2) the national stage of an international application in compliance with 35 U.S.C. 371

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior nonprovisional application.
- A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - DELETE** the following inventor(s) named in the prior nonprovisional application:
  - The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- A new power of attorney for authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
  - PTO-1449
  - Copies of IDS Citations

11/14/2001 SDENB0B1 00000058 09138926

01 FC:131

02 FC:103

740.00 OP

180.00 OP

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE (Large Entity)	(5) CALCULATIONS
	TOTAL CLAIMS	30 -20 =	10	X \$ 18.00 =	\$ 180.00
	INDEPENDENT CLAIMS	2 - 3 =	0	X \$ 84.00 =	
	MULTIPLE DEPENDENT CLAIM (if applicable)			+ \$280.00 =	
				BASIC FEE	\$ 740.00
				TOTAL =	\$ 920.00

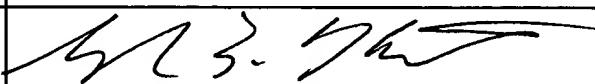
6. Small entity status:  
 a.  Small entity status: Applicant claims small entity status. See 37 CFR 1.27  
 7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 02-2135.  
 a.  Fees required under 37 CFR 1.16.  
 b.  Fees required under 37 CFR 1.17.  
 c.  Fees required under 37 CFR 1.18  
 8.  A check in the amount of \$ 920.00 is enclosed.  
 9.  Payment by credit card. Form PTO-2038 is enclosed.  
 10.  Applicant requests suspension of action under 37 CFR 1.103(b) for a period of    months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) enclosed.  
 11.  New Attorney Docket Number, if desired \_\_\_\_\_  
 12. a  Receipt for Facsimile Transmitted CPA (PTO/SB/29A)  
 b.  Return Receipt Postcard (Should be specifically itemized, See MPEP 503)  
 13.  Request and certification under 35 USC 122(b)(2)(B)(i) for nonpublication.  
 14.  Other: **Response**

**NOTE:** *The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.*

**14. NEW CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label <b>6449</b>					
Name	Glenn E. Karta				
Address	Rothwell, Figg, Ernst & Manbeck Suite 701-East, 555 13th Street, N.W.				
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Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031

**15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME & REG. NUMBER	Glenn E. Karta, Reg. No. 30,649				
SIGNATURE					
DATE	November 13, 2001				